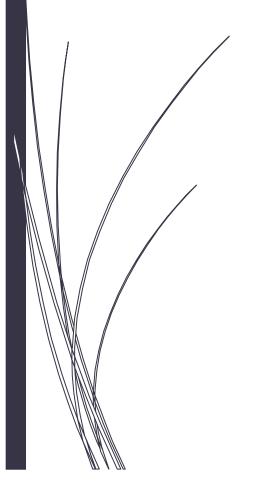
Minimum List of Documents

To facilitate assessment of BNM Climate Change Principle-based Taxonomy's Guiding Principles 3 and 4



CCPT Implementation Group

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Minimum List of Documents for GP3 and GP4 Assessments

- 1. Large corporations may have the capability to produce sustainability reports and obtain the relevant certifications for purposes of financial institutions' (FIs) assessment of GP3 and GP4 as set out in the CCPT due diligence questionnaires (DDQ).
- 2. However, customers or investees which do not have these sustainability reports or certifications may refer to this document when preparing data for GP3 and GP4 assessments by FIs.
- 3. Recognising that FIs may have different risk appetite and internal requirements, this document contains the minimum list of materials to facilitate information gathering and thus acts as a baseline or common minimum starting point. It does not preclude FIs from requesting for additional information, where required.
- 4. Customers and investees which neither provide nor have supporting documents will not be deemed to not meet the requirements of GP3 or GP4. Fls are still required to assess customers and investees based on available information, including information sourced from third party assessment providers, where applicable. Once the relevant information is obtained, Fls are required to perform the assessment of GP3 and GP4 as set out in the CCPT DDQ.

	Sector	DDQ Components			
Documents		Q2.1 & Q2.2 Pollution	Q3.1 & Q3.2 Ecosystem & Biodiversity	Q4.1 & Q4.2 Efficient Use of Resources	Q5.1 & Q5.2 GHG Emissions
Counterparty/ Counterparty Group Level					
Notification of Scheduled Waste slip to	Sectors that use and/or generate hazardous/				
Department of Environment (DOE)	scheduled waste as listed in the Environmental	✓			
(regulatory) or equivalent	Quality (Scheduled Wastes) Regulations 2005				
Standard operating procedure (SOP) or	Agriculture, Manufacturing, Construction,				
equivalent and/or internal policies on	Energy, Waste, Transportation	✓			
waste management					
SOP or equivalent and/or internal policies	Agriculture, Manufacturing, Construction,				
on effluent management	Energy, Waste, Transportation	✓			
(for reference: Environmental Quality		•			
(Industrial Effluents) Regulations 2009)					
SOP or equivalent and/or internal policies	Agriculture, Livestock, Aquaculture,			✓	
on natural resource management	Manufacturing, Energy, Construction, Forestry			•	
Forest Management Plan or equivalent	Forestry		✓	✓	
No Deforestation, No Peat and No	Palm Oil, Forestry, Mining and Quarrying or				
Exploitation (NOPE) policy/commitment	other sectors with deforestation activities		✓		
or equivalent					
Greenhouse gas (GHG) emission	Agriculture, Manufacturing, Construction,				
reduction plans and/or initiatives or	Energy, Waste, Transportation				✓
equivalent					
Project/ Activity Level					
Environmental Impact Assessment (EIA)	Projects/ activities listed in the Environmental				
Report or Executive Summary of EIA	Quality (Prescribed Activities) (Environmental	✓	✓		
Report (regulatory) or equivalent	Impact Assessment) Order 2015				
High conservation value (HCV)	Palm Oil, Forestry or other sectors with		√		
assessment or equivalent	deforestation activities		,		